



ADAPTATION FUND

AFB/B.15/2
September 7, 2011

Adaptation Fund Board
Fifteenth Meeting
Bonn, Germany, September 15-16, 2011

ANNOTATED PROVISIONAL AGENDA

1. Opening of the meeting

The meeting will be opened by the Chair of the Adaptation Fund Board, Ms. Ana Fornells de Frutos (Spain, Annex I).

2. Organizational matters:

a) Adoption of the agenda

Documents: AFB/B.15/1 Provisional agenda and AFB/B.15/2 Provisional annotated agenda.

Action expected: The Board will be invited to adopt the agenda for the meeting and to raise any other matters it may wish to discuss, to be included on the agenda under item 13.

b) Organization of work

Documents: AFB/B.15/1 Provisional agenda and AFB/B.15/2 Provisional annotated agenda.

Background: The Chair will propose to the Board the organization of work, in accordance with the Provisional timetable attached to document AFB/B.13/2.

Action expected: The Board will be invited to approve the organization of work as proposed by the Chair.

3. Report on intersessional activities of the Chair

Document: None.

Background: The former Chair of the Board will report on the activities he carried out during the intersessional period.

Action expected: The Board will be invited to take note of the oral report

4. Secretariat activities

Document: AFB/B.15/3 Report on activities of the secretariat.

Background: The Manager of the secretariat will present the report contained in the above mentioned document.

Action expected: The Board will be invited to take note of the report.

5. Report of the Accreditation Panel

Document: AFB/B.15/4 Report of the Accreditation Panel.

Background: The Chair of the Accreditation Panel, Mr. Santiago Reyna (Argentina, GRULAC), will present the Panel report to the Board. The report contains recommendations on the accreditation of implementing entities and other issues related to the accreditation process. It includes information on the first regional workshop on NIE accreditation (decision 5/CMP.6).

Action expected: The Board will be invited to consider and adopt the recommendations of the Panel.

6. Report of the 6th meeting of the Project and Programme Review Committee (PPRC)

Document: the PPRC will issue its report after its 6th meeting that will take place on September 14, 2011.

Background: The Chair of the PPRC, Mr. Hans Olav Ibrekk (Norway, WEOG), will present the PPRC report to the Board. The report contains recommendations on:

- Project and programme proposals;
- Issues identified during project and programme review.

Action expected: The Board will be invited to consider and adopt the recommendations of the PPRC.

7. Report of the 6th meeting of the Ethics and Finance Committee (EFC) on:

Document: the EFC will issue its report after its 6th meeting that will take place on September 14, 2011.

Background: The Chair of the PPRC, Mr. Zaheer Fakir (South Africa, African Group), will present the EFC report to the Board. The report contains recommendations on the following issues considered by the EFC:

- Knowledge Management framework;
- Evaluation framework;
- Reports on implementation of approved projects/programmes: CSE;
- Implementation of the code of conduct;
- Website.

Action expected: The Board will be invited to consider and adopt the recommendations of the EFC.

8. Issues remaining from the 14th Board meeting:

a) Consideration of country cap in the context of regional project/programmes

Document: AFB/B.15/5 *Consideration of country cap in the context of regional projects/programmes.*

Background: The Board at its 13th meeting decided, as a temporary measure, to approve a cap of US 10 million for each country; and to request the secretariat to present a proposal to the ETC on how regional projects or programmes would be considered within the cap of US \$10 million per country funded for support. Having considered the secretariat's proposal at its 14th meeting, the Board decided to establish an ad-hoc working group to consider the issues of regional criteria, country caps and the definition of regional projects/programmes. The Board further decided to request the secretariat to revise the document, elaborating on the following issues: 9i) the interim nature of the country cap, and relationship to the cap on Multilateral Implementing Entities; (ii) added value of regional approaches; and (iii) quality considerations in regional projects and programmes, and related project/programme review criteria. Document AFB/B.15/5 presents a revised version of the proposal presented at the 14th meeting.

Action expected: The Board will be invited to consider the options outlined in document AFB/B.15/5; in particular the recommendation of paragraph 37, and make a recommendation to the Board for approval.

b) *Review of the operational policies and guidelines*

Document: AFB/B.15/6 *Review of the operational policies and guidelines and related templates*

Background: At its 13th meeting the Board considered a preliminary draft of the revisions that might be required of the Operational Policies and Guidelines (OPG) and set up an ad-hoc committee, supported by the secretariat to produce proposals for amending the OPG. It further requested the secretariat to present a consolidated draft of the revised OPG to the 5th meeting of the EFC. The Board approved most of the revised text at its 14th meeting and by intersessional decision. The remaining text in brackets was forwarded to the PPRC (paragraph 10) and to the working group referred above (paragraphs 34, 48, 57, 58 and 59). Document AFB/B.15/6 presents the draft agreed by the PPRC and the working group for consideration by the Board.

Action expected: The Board will be invited to consider the amendment proposals for approval.

c) *Performance reviews of the secretariat and trustee*

Document: none.

Background: CMP 6 requested to the Board to make available in its report to CMP 7 the performance reviews of the interim secretariat and of the interim trustee servicing the AF (decision 1/CMP.3, paragraph 33). Further, the Board at its 12th meeting decided that a group of Board members in consultation with the outgoing Chair would prepare terms of reference for a consultant to review the performance of the secretariat and the trustee. The TORs were approved by the Board at its 13th meeting and the announcement was posted on the Fund and UNFCCC websites initially and later on other sites. An ad-hoc committee appointed by the Board prepared a short-list of candidates and conducted the ensuing interviews in the margins of the 14th meeting. Following the ad-hoc committee's recommendation, the Board decided to offer the consultancy to the first ranked candidate, who accepted and was hired. The Chair will update the Board on progress made by the consultant in the preparation of the study.

Action expected: the Board will be invited to take note of the report presented by the Chair.

d) *Participation of the Adaptation Fund Board and secretariat in the Transitional Committee of the Green Climate Fund*

Document: none.

Background: The Board at its 13th meeting decided to send a letter to the President of COP 16/CMP 6 and to the Executive Secretary of UNFCCC requesting that the Board and its secretariat be invited to participate in the work of the Transitional Committee pursuant to paragraph 111 of decision 1/CP.16. The Board Chair was invited to make a presentation at the workshop on lessons learned by relevant funds and institutions that took place on July 12 in Tokyo. The Chair will report to the Board on the participation at the workshop.

Action expected: The Board will be invited to take note of the report presented by the Chair.

9. Draft report of the Board to CMP 7

Document: AFB/B.15/7 *Draft report of the Adaptation Fund Board to the Conference of the Parties serving as the meeting of the Parties to the Kyoto protocol at its 7th session.*

Background: Decision 1/CMP.3, paragraph 5(i) includes among the functions of the Board to report on its activities at each session of the CMP. The draft report contained in document AFB/B.15/7 has been prepared by the secretariat following this mandate. The draft covers the period between December, 2010 and September, 2011.

Action expected: The Board will be invited to approve the draft report with any changes it deems appropriate, and to request the Chair, assisted by the secretariat, to finalize the report and forward it to UNFCCC.

10. Report on Pilot Programme on Climate Resilience Subcommittee meeting

Document: none.

Background: Mr. Zaheer Fakir (South Africa, African Group) attended the last Pilot Programme on Climate Resilience (PPCR) Subcommittee meeting on behalf of the Board. He will present a report on the meeting.

Action expected: The Board will be invited to take note of the report by Mr. Zaheer Fakir.

11. Financial issues:

a) *CER monetization*

Document: AFB/B.15/Inf.5 *Presentation on CER monetization.*

Background: The trustee will make a presentation on the development of the monetization programme.

Action expected: The Board will be invited to take note of the presentation by the trustee and consider options for further monetization of CERs.

b) Financial status of the Adaptation Fund Trust Fund

Document: AFB/EFC.6/5 *Financial status of the Adaptation Fund Trust Fund*

Background: The trustee will report on the financial status of the trust funds to the Board.

Action expected: The Board will be invited to consider and take note of the report presented by the trustee.

12. Board meetings for 2012

Document: none.

Background: The secretariat will present to the Board the meetings schedule for the year 2012.

Action expected: The Board will be invited to approve the meetings schedule proposed by the secretariat.

13. Other matters

Action expected: The Board will be invited to discuss any other matters raised during the adoption of the agenda.

14. Dialogue with civil society organizations

The Board will hold another session of its dialogue with civil society organizations.

15. Adoption of the report

Action expected: The Board will be invited to adopt the report of its 13th meeting.

16. Closure of the meeting.

PROVISIONAL TIMETABLE

Day 1, September 15, 2011

Morning Session

9:00 – 10:15

Finalization of the reports by the PPRC and EFC

10:15 – 10:30 Coffee break

10:30 – 10:45

1 Opening of the meeting

2 a) Adoption of the agenda

2 b) Organization of work

10:45 – 11:15

5 Report of the Accreditation Panel

11:15 – 12:00

8 a) Country Cap of regional projects/programmes

12:00 – 12:30

9 Draft report to the CMP

Afternoon Session

14:00 – 14:30

6 Report of the PPRC

14:30 – 16:15

7 Report of the EFC

15:30 – 15:45 Coffee break

15:45 – 16:30

8 b) Review of the OPG

16:30 – 17:00

8 c) Performance review of the secretariat and trustee

Day 2, September 16, 2011

Morning Session

9:00 – 9:30 8 d) Participation of the AFB in the Transitional Committee of the GCF

9:30 – 10:00 10 a) CER monetization

10:00 – 10:30 10 b) Financial status of the Fund

10:30 – 10:45 Coffee break

10:45 – 11:15 3 Report of the Chair

11:15 – 12:00 4 Report of the secretariat

Afternoon Session

14:00 – 14:15 11 Board meetings for 2011

14:15 – 15:45 12 Other matters

15:45 – 16:00 Coffee break

16:45 – 17:30 13 Adoption of the report

14 Closure of the meeting